

International Collaborations and Activities - Checklist for GMAS Entry

PI:

Sponsor:

Project Title/Nickname:

Deadline Date:

Will the proposed project involve any of the following?

Please answer Yes to all planned collaborations and activities that will apply to the proposed project. If plans are not well-defined at time of proposal, answer No.

International Travel: ☐ Yes ☐ No

Will you or anyone on the project team be travelling outside of the U.S. to:

- ☐ Attend or present research results at a conference?
- ☐ Conduct fieldwork (e.g. data collection, surveying, collecting samples, conducting experiments)?
- ☐ Meet with foreign collaborators, subawardees, vendors, or consultants?
- ☐ Conduct human or animal subject research?
- ☐ Use equipment/facilities or acquire resources?
- ☐ Learn scientific or experimental techniques?

List all countries to which international travel will take place:

International Collaboration (other than Subaward recipients): ☐ Yes ☐ No

Does the project involve collaboration with an individual who is employed by or otherwise engaged outside of the United States, in support of the project aims, regardless of whether such individual is receiving any funding under this project?

If so, what project activities are anticipated?

- ☐ Fieldwork, including data collection surveying, collecting sample, and/or conducting experiments

- ☐ Human or animal subjects research
- ☐ Development or donation of resources (financial or in-kind) in support of the project
- ☐ Contributing to publications or delivering presentations of project results
- ☐ Exchange data and/or research materials
- ☐ Other (please specify):

List all countries involved in international collaborations:

Establishment of New International Site: ☐ Yes ☐ No

Will the proposed project require any of the following:

- ☐ Use of project funds to purchase or lease real estate in a country other than the U.S.?
- ☐ Relocation of project personnel to a foreign country for multiple months at a time?
- ☐ Inclusion of Harvard's name in the title of a new international site?

List countries where international sites will be established:

Provide a brief description of the proposed activities requiring the establishment of a new international site and describe the status of any internal reviews that may currently be underway or will soon be initiated. Depending upon the nature of the activities, approval may be needed from Global Support Services, Harvard Trademark Office, and/or your school's cognizant Dean or research administration office. This description must be entered into GMAS and will be reviewed by the Provost's Office:

Use of Facilities/Instrumentation at an International Site: ☐ Yes ☐ No

Will facilities and/or instrumentation at an existing foreign site be used to conduct the project?

Common examples include:

- The purchase of equipment or software in a foreign country for use on the project

- The use of foreign facilities or instrumentation, directly by Harvard, or by any of its collaborators, vendors or subrecipients (excluding the performance of work by a formal foreign subrecipient using its own instrumentation or facilities), which will benefit the project
- Any other payment to individuals or entities outside of the U.S. in connection with the project (excluding foreign subaward recipient)

This question is not intended to capture activities that are planned to occur at new Harvard international sites, which would be captured by the Establishment of New International Site question, but rather the use of existing foreign facilities and/or instrumentation.

List all countries where use of facilities/instrumentation at an international site will take place:

Briefly describe the facility and/or instrumentation activities that will take place and provide details about who will be using the facilities/instrumentation and what specific activities will be performed. Note if payments will be made to foreign entities and foreign individuals in connection with such use:

International Shipment/Transfer/Exchange of Research Materials, Data, and/or Equipment: ☐ Yes ☐ No

Will the project involve any of the following:

- ☐ Shipment or transfer of equipment, software, or technology between the U.S. and international collaborators at a foreign site?
- ☐ Sharing of data with international collaborators (other than through publication)?
- ☐ Shipment or transfer of biological, chemical, or radioactive materials to be sent to or received from a foreign party/site?

List all countries to or from which research data, equipment, or materials will be shipped or transferred:

Foreign National: ☐ Yes ☐ No (Required only for NASA, DoE, and DoD proposals)

For the purposes of this question, Foreign National refers to an individual who is a citizen of any country other than the United States. This includes individuals working at Harvard while on a student, scholar, or work visa but does not include individuals who hold U.S. permanent residency status (i.e. holders of a green card).

A Yes response should be provided regardless of whether the foreign national will be working on the project in a paid or unpaid capacity.

List the home countries of any foreign nationals working on the project:

International Human Subjects and/or Animal Research: ☐ Yes ☐ No

Does the project involve human subjects and/or animal research at an international site?

Check all that apply:

- ☐ Human subjects research
- ☐ Animal subjects research

List all countries in which human and/or animal subjects research will take place:

Impact on U.S. Foreign Policy: ☐ Yes ☐ No

Please provide a brief description of any proposed project activity that may have an impact U.S. foreign policy through the involvement in the affairs or environment of a foreign country:

List all countries where activities with the potential to impact U.S. foreign policy will take place: